UKRI OPEN MONOGRAPH REQUIREMENTS (FROM 1 JAN 2024)

UKRI-funded researchers who publish in-scope monographs on or from 1 Jan 2024 as a result of UKRI-funded research must make these open access within 12 months of publication via one of the following two routes:

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<th>Green route (self-archive author-accepted manuscript)</th>
<th>Gold route (published Version of Record)</th>
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<tr>
<td>Deposit in Symplectic Elements with a max 12-month embargo (where publisher allows)</td>
<td>Published on publisher’s website immediately upon publication</td>
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<tr>
<td>No publishing costs</td>
<td>May attract publishing charges (Book Processing Charges, BPC)</td>
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Other Open Monograph requirements:
- Licences: CC-BY (preferred) - other CC licences are permitted to allow reuse with attribution¹
- Third-Party materials (e.g. images): include where possible, even if with a more restrictive license. The UKRI will publish best practice guidance on including third-party materials in your publication in Autumn 2023.

Exemptions to publishing in scope monographs Open Access:
1. If the only appropriate publisher is unable to offer compliant OA option (incl. Gold)
2. If in receipt of UKRI Training grant (DPhils) though UKRI still encourages Green OA²
3. If a contract was signed before 1 Jan 2024 that prevents compliance with the policy
4. If reuse permissions for third-party materials cannot be obtained and there is no suitable alternative option available to enable open access publication.

<table>
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<th>In scope</th>
<th>Out of scope</th>
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<td>Monographs, book chapters, edited collections</td>
<td>Scholarly editions³, exhibition catalogues, scholarly illustrated catalogues, textbooks, fictional works, and creative writing</td>
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**Trade books** are “defined as an academic monograph rooted in original scholarship that has a broad public audience, a trade book is in scope of the policy where it is the only output from UKRI-funded research.”


**Please note:** The decision of whether a book should be considered a trade book or an academic monograph, is at the discretion of the author and publisher.

Q. I am in the process of writing a UKRI-grant application. What do I do?

If you are applying for a research grant now but expect to publish an output on or after the 1 Jan 2024, you should include open access costs in your grant application. [UKRI Open Access Policy - frequently asked questions (FAQs)](https://openaccess.ox.ac.uk/) (v 1.6, Nov 2022), H4, p12.

**Q. How will I be able to access funding for Gold OA publishing?**

From January 2024, approximately £3.5 million will be dedicated to supporting open access for long-form outputs via a separate ring-fenced fund. The fund will be centrally held by UKRI and research organisations will apply to UKRI to access it. Printing fees, colour and page charges are not eligible. Once the central fund is available, open access costs will no longer be an eligible cost on individual grant applications.

**Q. What is the process for applying for this funding?**

The UKRI will publish final guidance about applying to the fund in Autumn 2023. Applications to the fund will be via a staged application process where at stage 1 the research organisation registers the output(s) with the UKRI for funding. A publishing contract does not need to be signed for a stage 1 application, at stage 2 the research organisation provides final confirmation of publication to allow the UKRI to release funds. The UKRI will confirm if a publication is eligible for funding after stage 1. Research

¹ Open Government Licence is also permitted when authors are subject to Crown Copyright
² Requirements for the publication of theses in the [UKRI Standard Terms and Conditions of Training Grants](https://openaccess.ox.ac.uk/) still apply.
³ Defined as an edition of another author’s original work or body of works informed by critical evaluation of the sources (such as earlier manuscripts, texts, documents, and letters), often with a scholarly introduction and explanatory notes or analysis on the text and/or original author.

Need help? Open Access Oxford: [https://openaccess.ox.ac.uk/](https://openaccess.ox.ac.uk/)
Contact OA Helpline: openaccess@bodleian.ox.ac.uk - Symplectic help: symplectic@admin.ox.ac.uk

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organisations will be able to submit stage 1 applications from Autumn 2023. Contact the Open Access Payments team at apc@bodleian.ox.ac.uk if you are planning to publish a long form output after 1 Jan 2024 that is the outcome of a UKRI grant.

Q. Will the UKRI fund cover only Book Processing Charges (BPCs)?
The UKRI will support a range of open access models, incl. subscribe to open agreements, book processing charges, and book chapter processing charges.

Q. Are publications in foreign languages in scope of the UKRI OA policy?
Yes, if they are an in-scope type of publication that needs to acknowledge funding from UKRI.

Q. If a publication is co-authored with collaborators who are not URKI-funded, does it still need to comply?
Publications that acknowledge UKRI funding must also comply with UKRI OA policy. UKRI-funded researchers should make collaborators clear of funder requirements when agreeing collaborations.

Further information: UKRI Open Access Policy; UKRI Open Access Policy - frequently asked questions (FAQs)